



# **First Results of the PLL Tune Tracking in the SPS**

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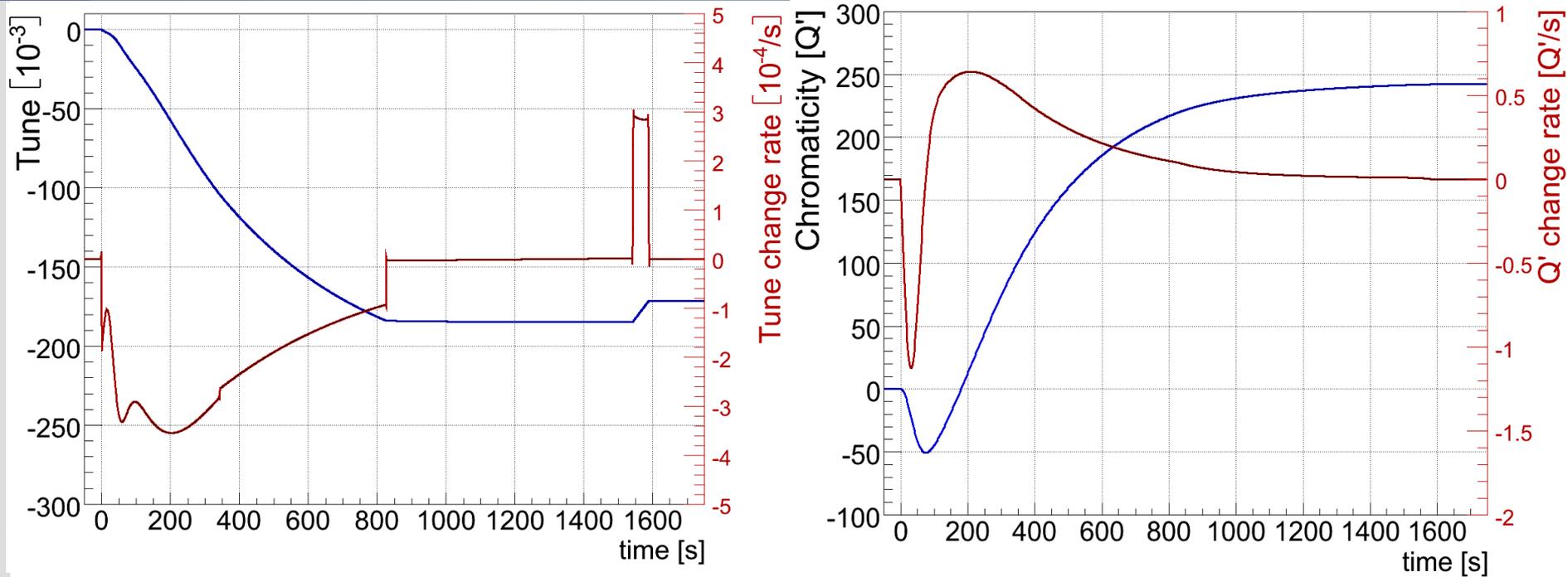
**Acknowledgements:**  
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**and**  
**V. Ranjbar (FNAL), C.-Y. Tan (FNAL), P. Cameron (BNL)**

- The measurement and control of
  - orbit, **tune**, **chromaticity**, energy and coupling --
 will be an integral part of the LHC operation

- Requirements summary:

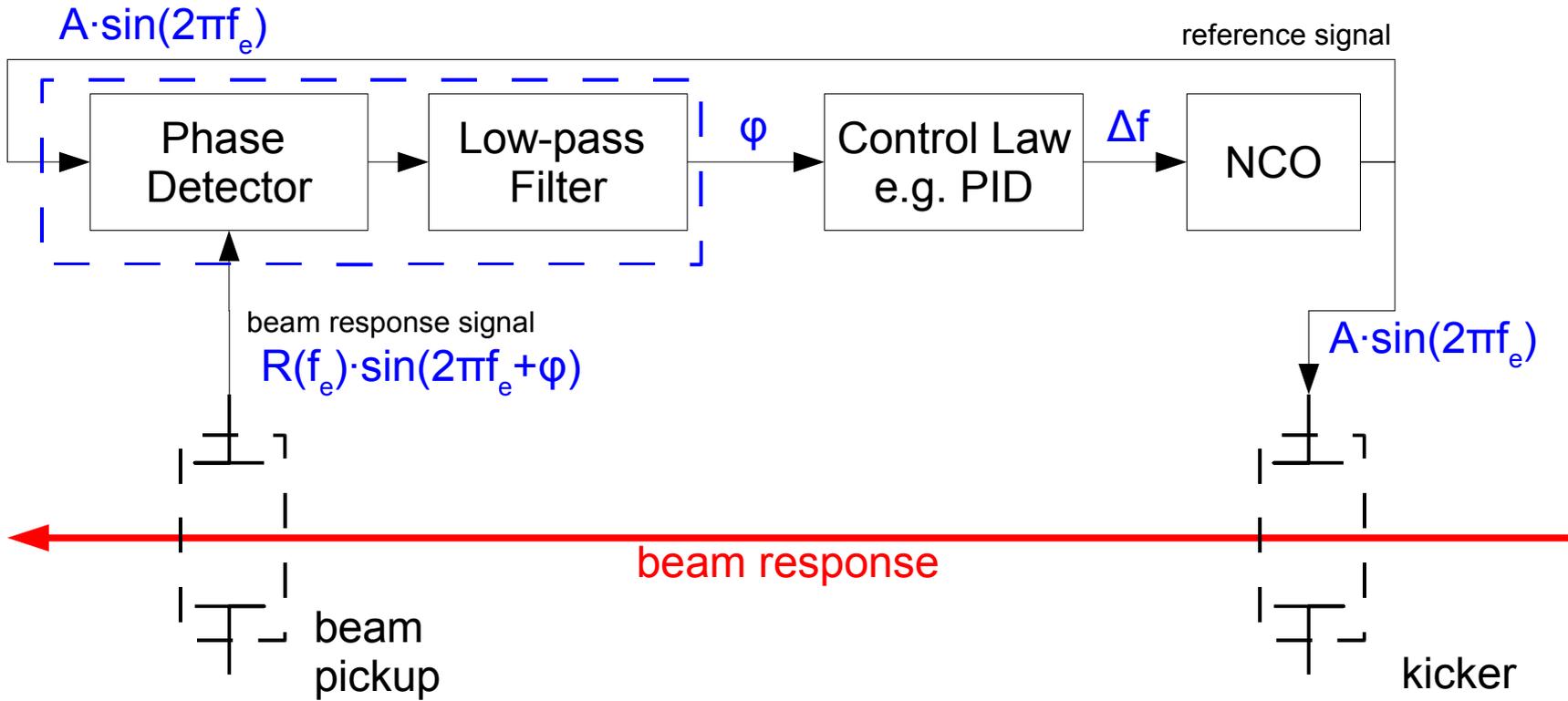
	Orbit [ $\sigma$ ]	Tune [ $0.5 \cdot f_{rev}$ ]	Chroma. [units]	Energy [ $\Delta p/p$ ]	Coupling [c]
Exp. Perturbations:	$\sim 1-2$ (30 mm)	0.025 (0.06)	$\sim 70$ (140)	$\pm 1.5e-4$	$\sim 0.01$ (0.1)
Pilot bunch	-	$\pm 0.1$	+ 10 ??	-	-
Stage I Requirements	$\pm \sim 1$	$\pm 0.015 \rightarrow 0.003$	$> 0 \pm 10$	$\pm 1e-4$	$\ll 0.03$
Nominal	$\pm 0.3 / 0.5$	$\pm 0.003 / \pm 0.001$	$1-2 \pm 1$	$\pm 1e-4$	$\ll 0.01$

today's focus!

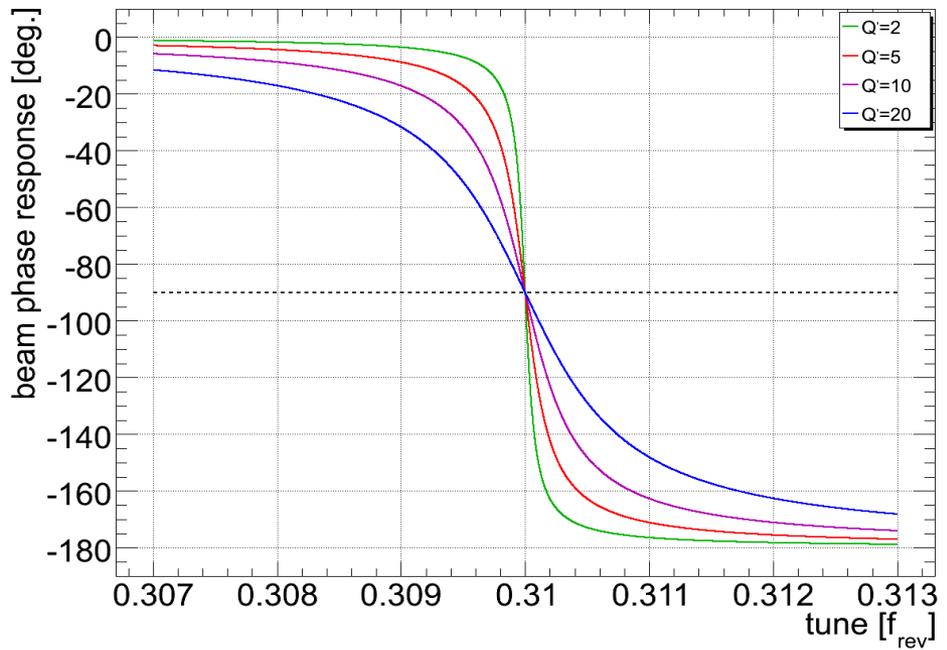
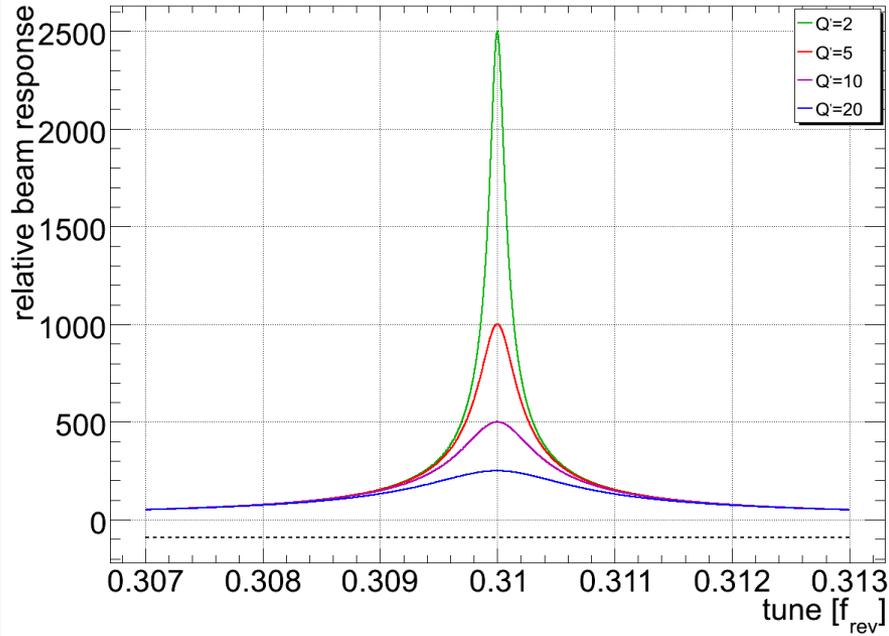


- Exp. perturbations are about 200 times than required stability!
- however: maximum drift rates are expected to be slow in the LHC
  - Tune:  $\Delta Q/\Delta t|_{\max} < 10^{-3} \text{ s}^{-1}$
  - Chromaticity:  $\Delta Q'/\Delta t|_{\max} < 2 \text{ s}^{-1}$
- Requires active control relying on beam-based measurements

# Basic Phase Locked Loop in a Slide



- NCO: Numerically Controlled Oscillator = digital sine wave generator
- Aim of the PLL control law:
  - regulate the frequency in order to minimise  $\Delta\phi$  (match to  $90^\circ$ )
  - first iteration choice: e.g. classic proportional-integral (PI) controller



- In addition to the tune, the beam response also depends on the chromaticity
  
- The PLL dynamic and its design split into two parts:
  - PLL low-pass filter: → controller gains
  - Beam response: → open loop gain  $K_0$ 
    - first order:  $K_0 = \text{const.}$

- PLL low-pass:

$$G(s) = \frac{K_0}{\tau s + 1} \quad \text{with} \quad \tau \approx 25 \text{ ms} (\Leftrightarrow f = 40 \text{ Hz}) \quad (1)$$

- Youla's affine parameterisation<sup>1</sup> for stable plants:

$$D(s) = \frac{Q(s)}{1 - Q(s)G(s)} \quad (2)$$

- Using the following ansatz

$$Q(s) = F_Q(s) G^i(s) = \frac{1}{\alpha s + 1} \cdot \frac{\tau s + 1}{K_0}$$

- (1)+(2)+(3) yields: (3)

$$D(s) = K_p + K_i \frac{1}{s} \quad \text{with} \quad K_p = K_0 \frac{\tau}{\alpha} \wedge K_i = K_0 \frac{1}{\alpha}$$

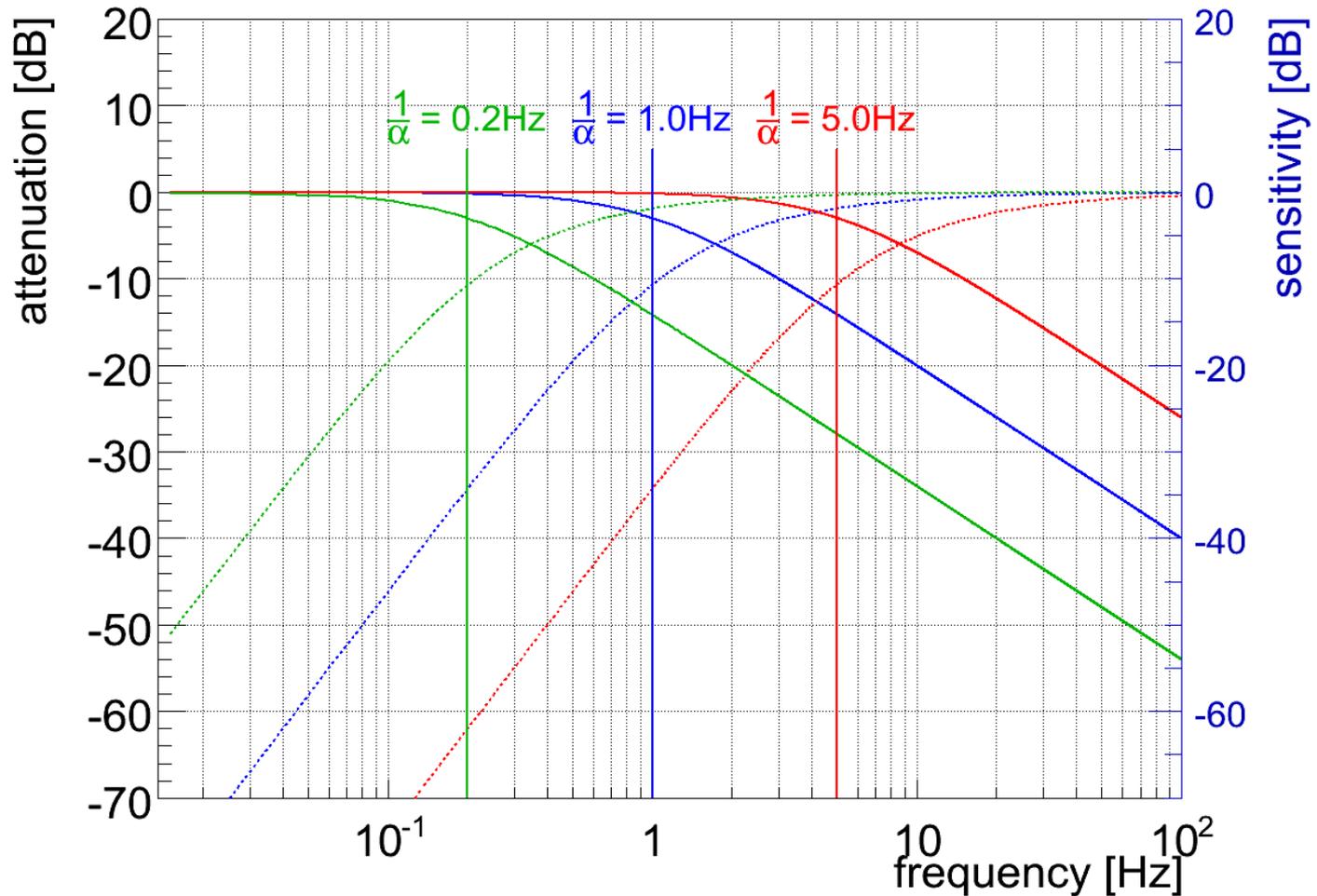
- $\alpha > \tau \dots \infty$  moderates closed loop response between (trade-off):
  - fast and less accurate tracking vs. slow and more accurate tracking

<sup>1</sup>D. C. Youla et al., "Modern Wiener-Hopf Design of Optimal Controllers", IEEE Trans. on Automatic Control, 1976, vol. 21-1, pp. 3-13 & 319-338

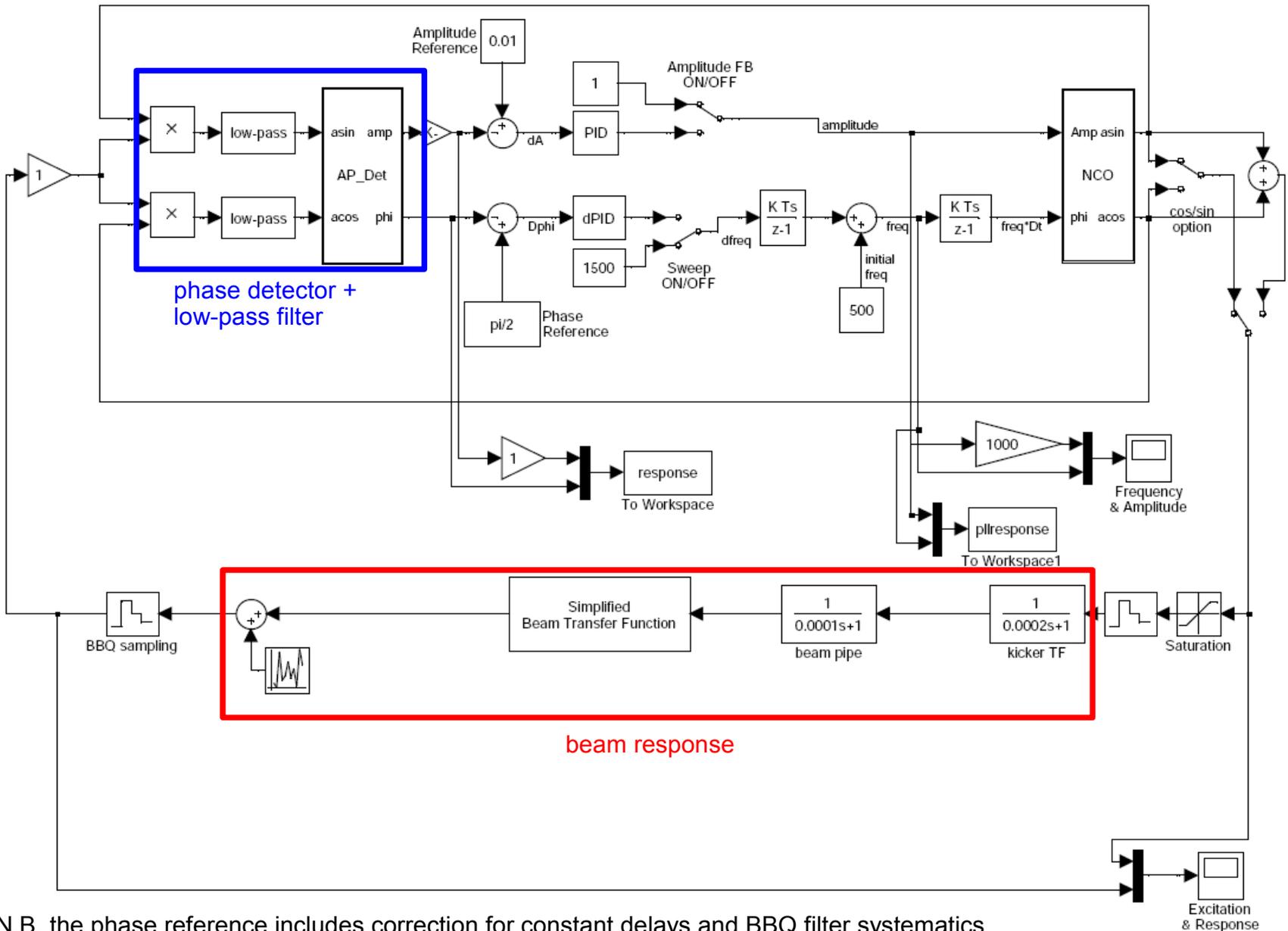
# Robust vs. Fast Tracking PLL

- Similar to the other feedback designs:  $1/\alpha \sim$  effective PLL bandwidth
- $\alpha$  facilitates the closed-loop trade-off:

fast and noise sensitive vs. slow and robust PLL loop



# A more complete PLL schematic

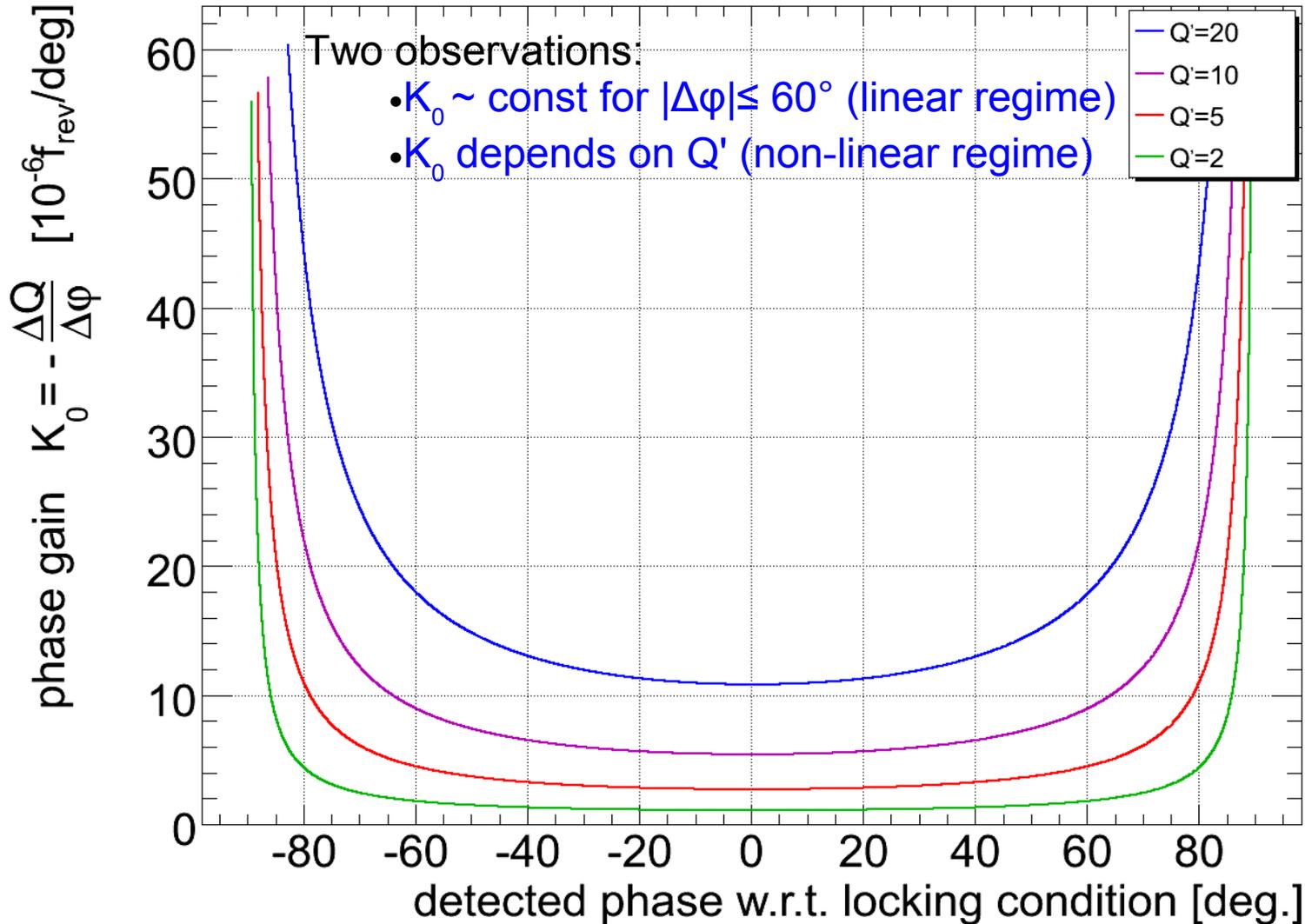


USLARP TF review, Ralph.Steinhausen@CERN.ch, 2006-10-24

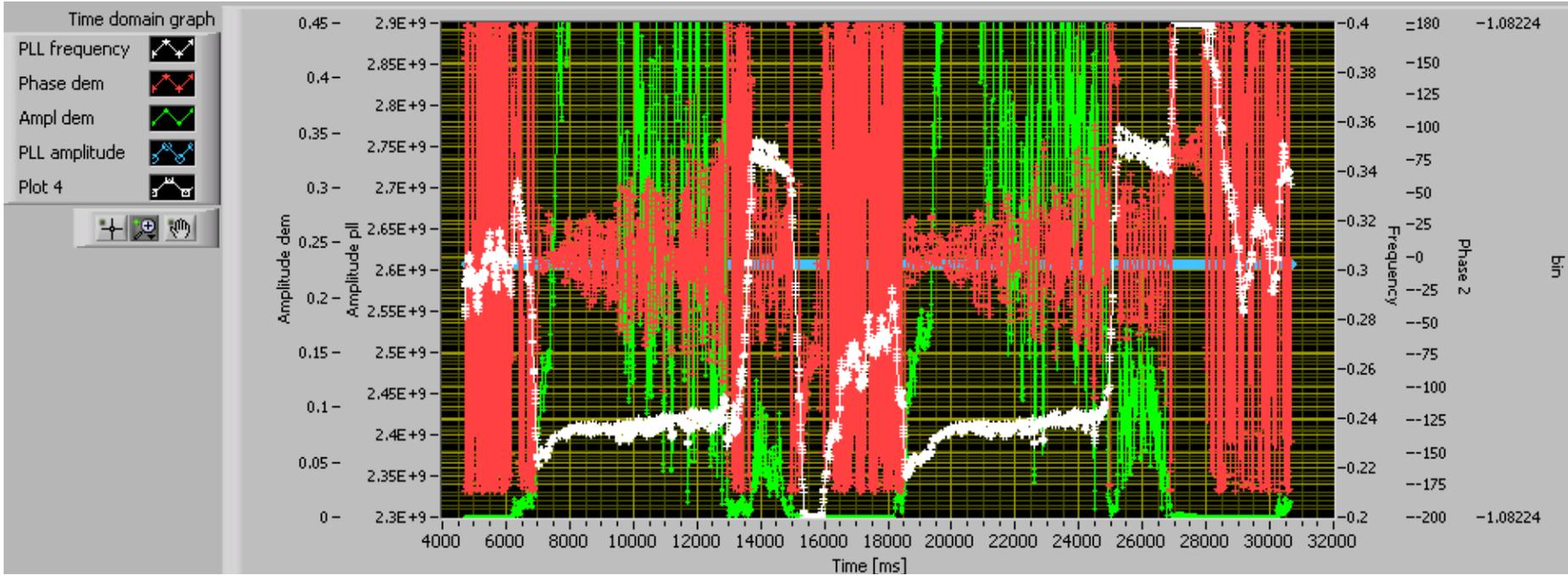
N.B. the phase reference includes correction for constant delays and BBQ filter systematics

# Chromaticity and PLL Non-linearities

- The first order PLL controller assumed a constant open-loop gain  $K_0$
- Real open-loop response depends also on the actual phase and

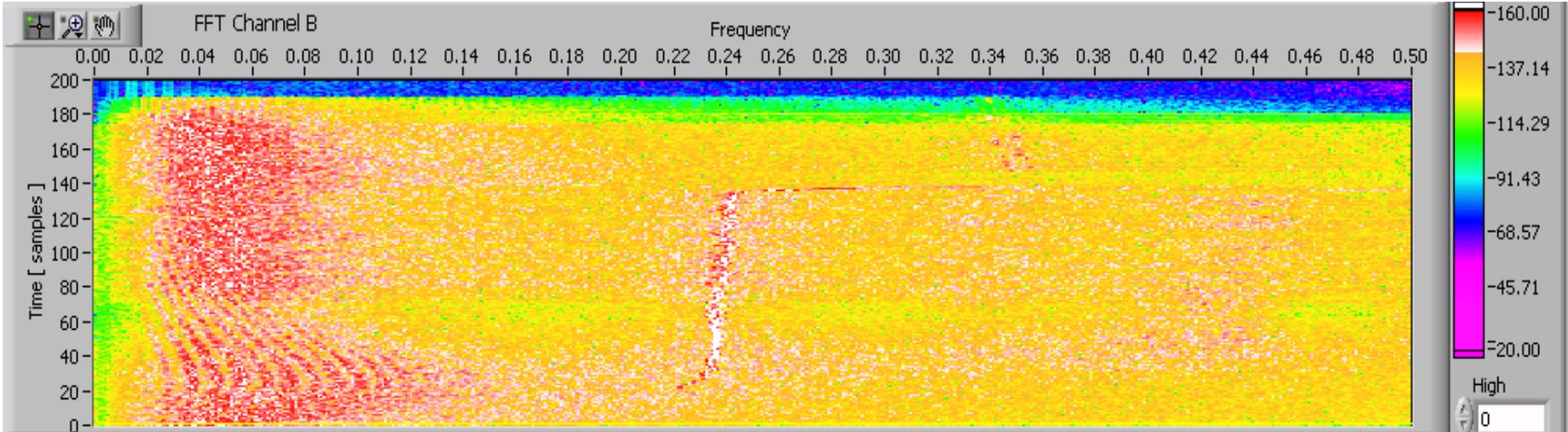


- Optimal PLL parameters (tracking speed, etc.) depend - beside measurement noise – on the chromaticity inside the machine.
- Intrinsic trade-off:
  - Optimal PI for high  $Q'$   $\leftrightarrow$  sensitivity to noise (unstable loop) for low  $Q'$
  - Optimal PI for small  $Q'$   $\leftrightarrow$  slow tracking speed for large  $Q'$ 
    - the choice for commissioning
- Can be improved by putting knowledge into the system: “gain scheduling”
  - injection: expect slow  $Q'$  changes  $\rightarrow$  slow but robust tracking
  - ramp: expect faster  $Q'$  changes  $\rightarrow$  faster but less robust tracking
- Testing favours 'coasting beam' (e.g. @ RHIC):  
Can separate temporal from systematic effects that affect the phase



- SPS 25ns fixed target beam: 26GeV → 450GeV, ~ 3e12 protons/beam
  - Horizontal tune:  $Q_h \approx 26.76 \rightarrow 26.66$  (slow resonant extraction)
  - kept lock during ramp
  - Fastest tracked tune change:  $\Delta Q \approx 0.1$  within about 200-300 ms
    - much faster than the maximum expected tune drift in the LHC!

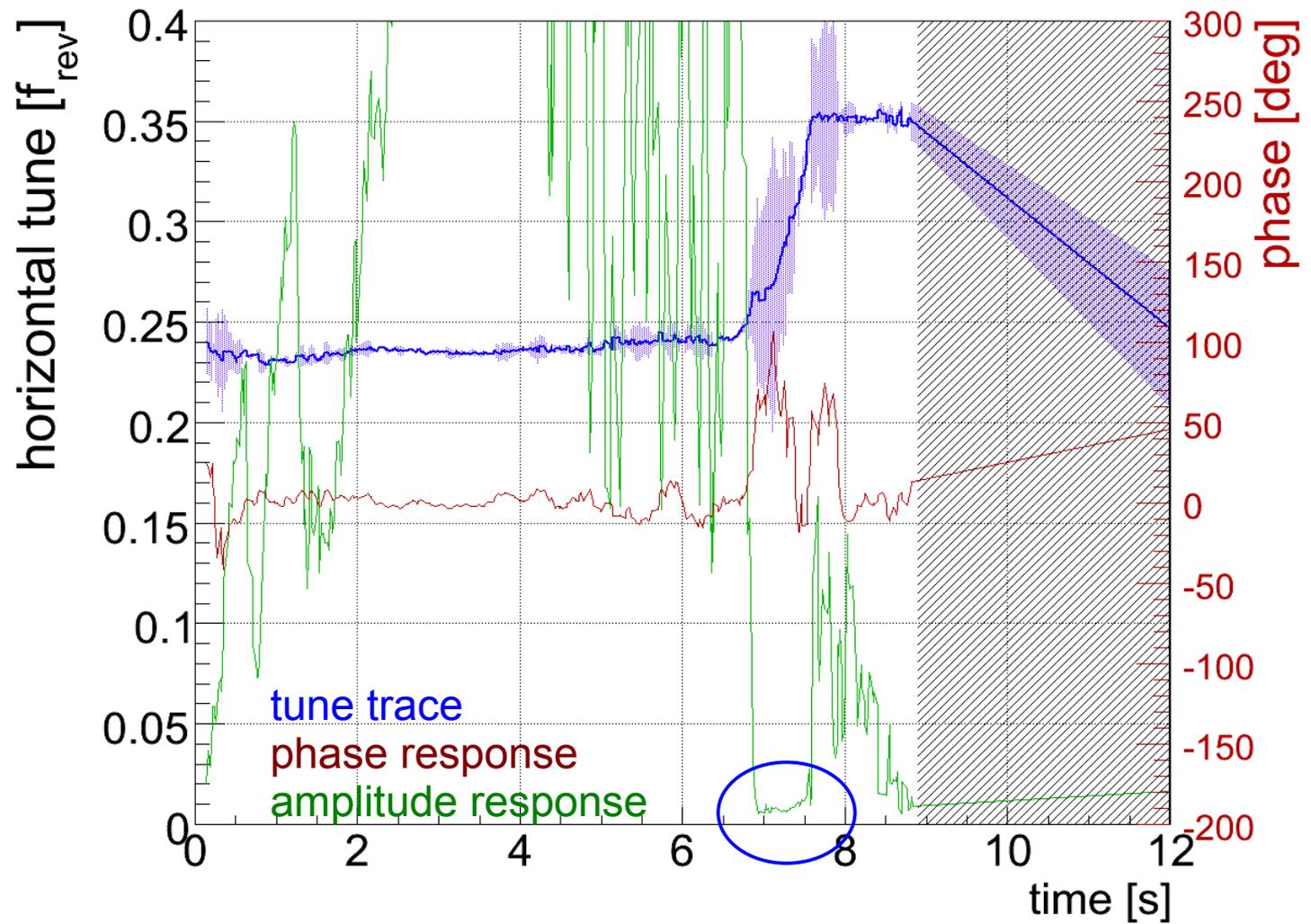
- Temporal evolution of the individual FFT acquisition:



- Tune resolution:

- FFT based (1024 turns):  $\Delta Q_{\text{res}} \approx 10^{-3}$
- PLL based:  $\Delta Q_{\text{res}} \ll 10^{-4}-10^{-5}$ 
  - limited by underlying tune stability  $\rightarrow$  SPS is a tough testbed
  - excitation below the  $1 \mu\text{m}$  level (factor 10++ below MultiQ Settings)
    - negligible/no emittance blow-up
- Seem(ed) to be a very robust measurement!

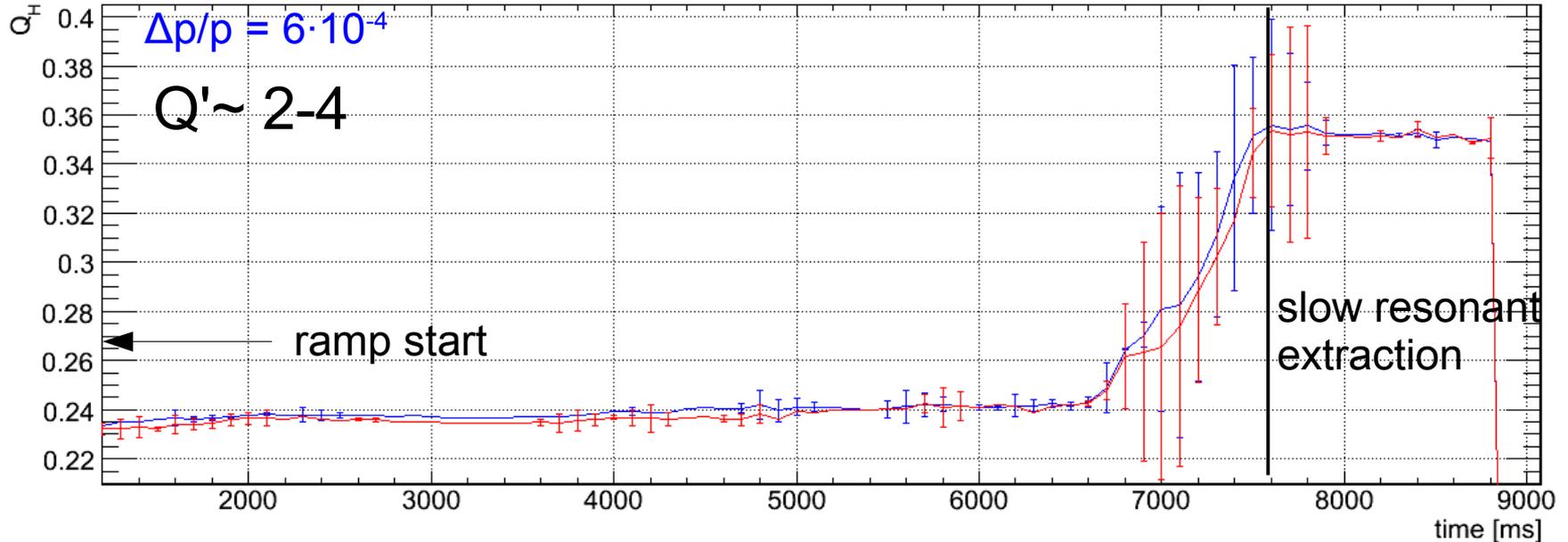
# A Brief Comment on the Measurement Resolution I/II



- phase error and **non-vanishing amplitude** indicate lock during ramp
- $\Delta Q/\Delta t|_{\max} \approx 0.3$  about two orders of magnitude faster than required for LHC

# Q' measurement trough slow $\Delta p/p$ modulation

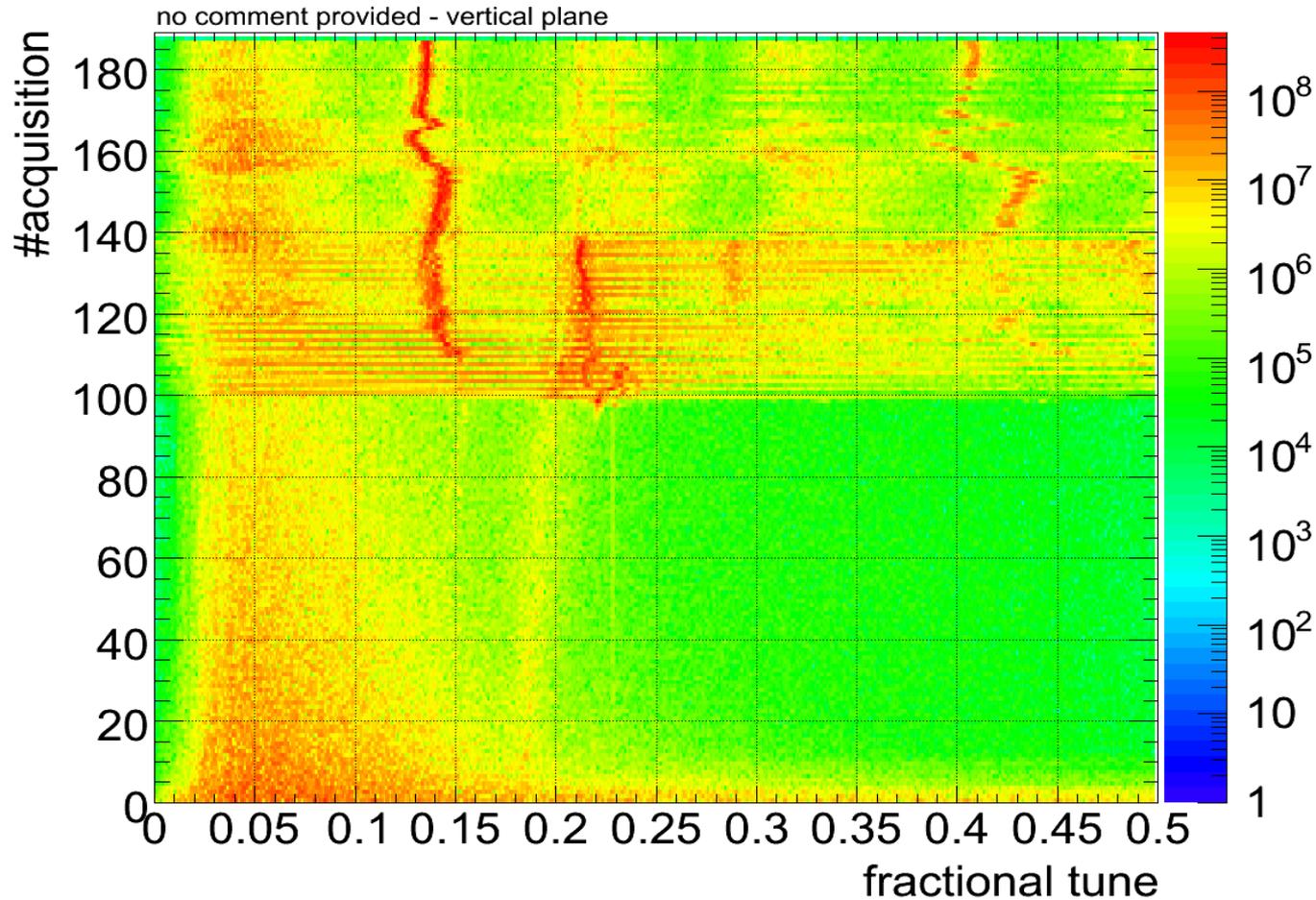
- Used PLL to track Q' (measurement during ramp)



- SPS operation:  $\Delta p/p > 10^{-3}$  &  $\Delta Q_{res} \approx 10^{-3} \rightarrow \Delta Q'_{res} \sim 1$
- LHC:  $\Delta p/p < 10^{-4}$  &  $\Delta Q'_{res} \sim 1 \rightarrow \Delta Q_{res} < 10^{-4}$ 
  - tough, still not established!
- Further tests with averaging over several tune measurement and slow underlying systematic Q,Q' changes
- Testing requires coasting beam for this and other Q' methods ( $\rightarrow$  RHIC)

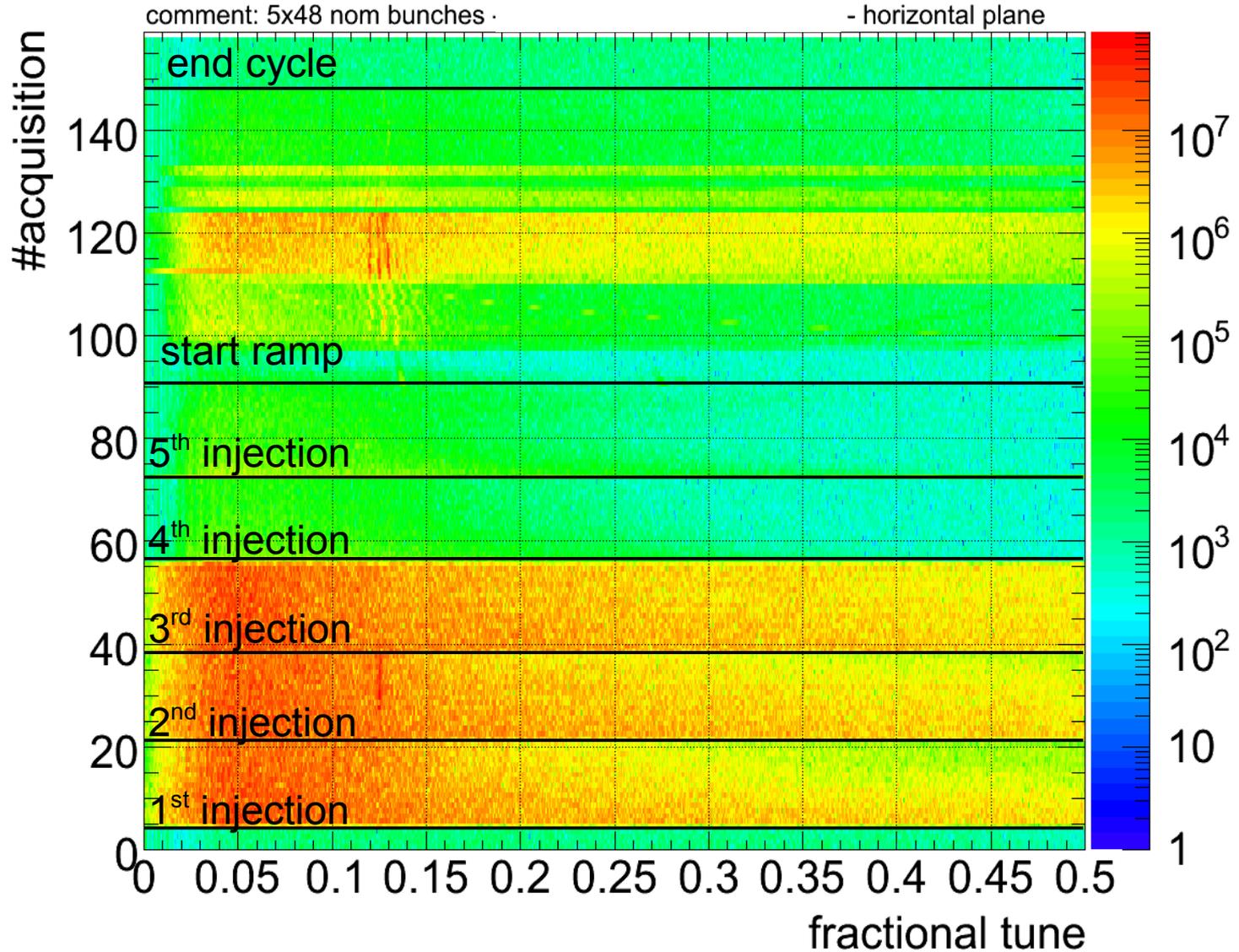
# Some notes on BBQ measurement I/II

- BBQ impresses with incredible sensitivity w.r.t. to oscillations
- This year we got swamped with large residual (tune) oscillation



- clamped the BBQ front end that resulted in multiple large harmonics  
→ tune could and did lock on the harmonics

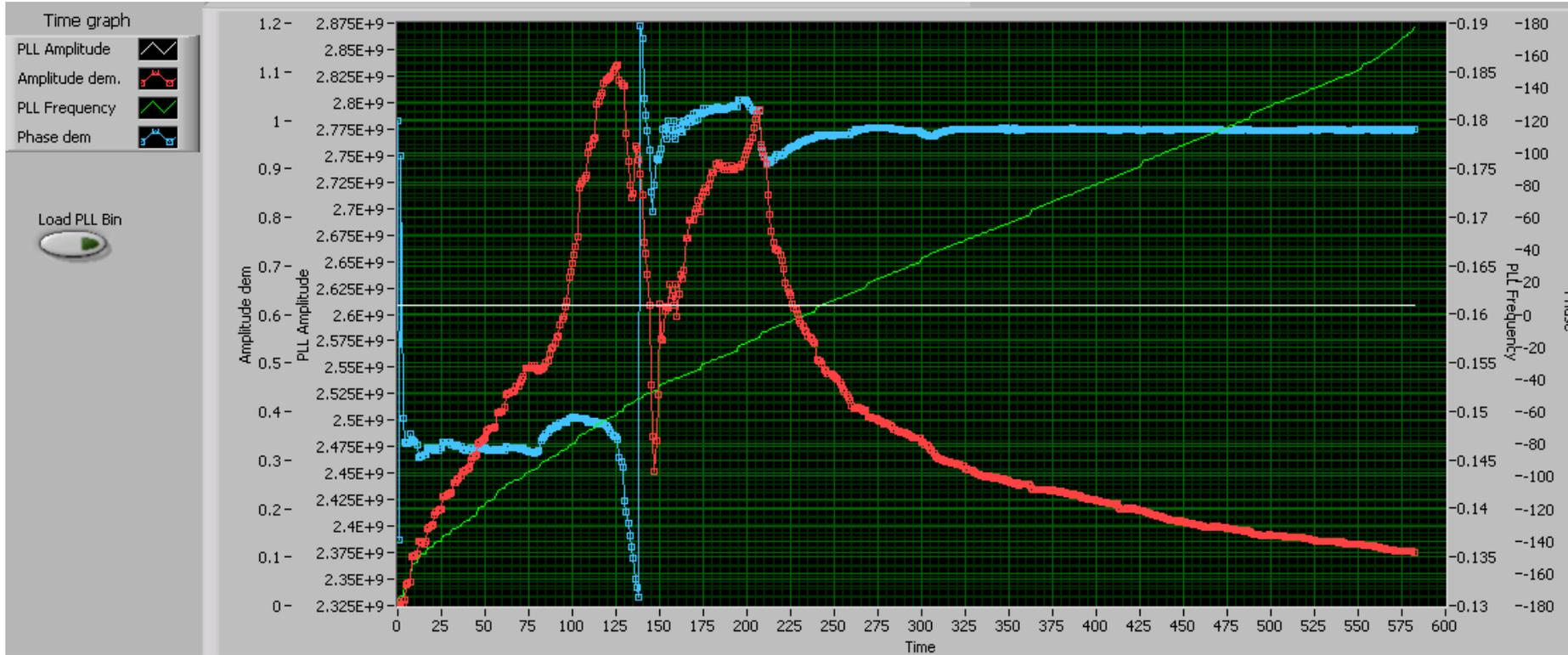
# Some notes on BBQ measurement II/II - Zener Effect



- An additional diode in series may address this issue!

# Some “unexpected” Beam Response I/II

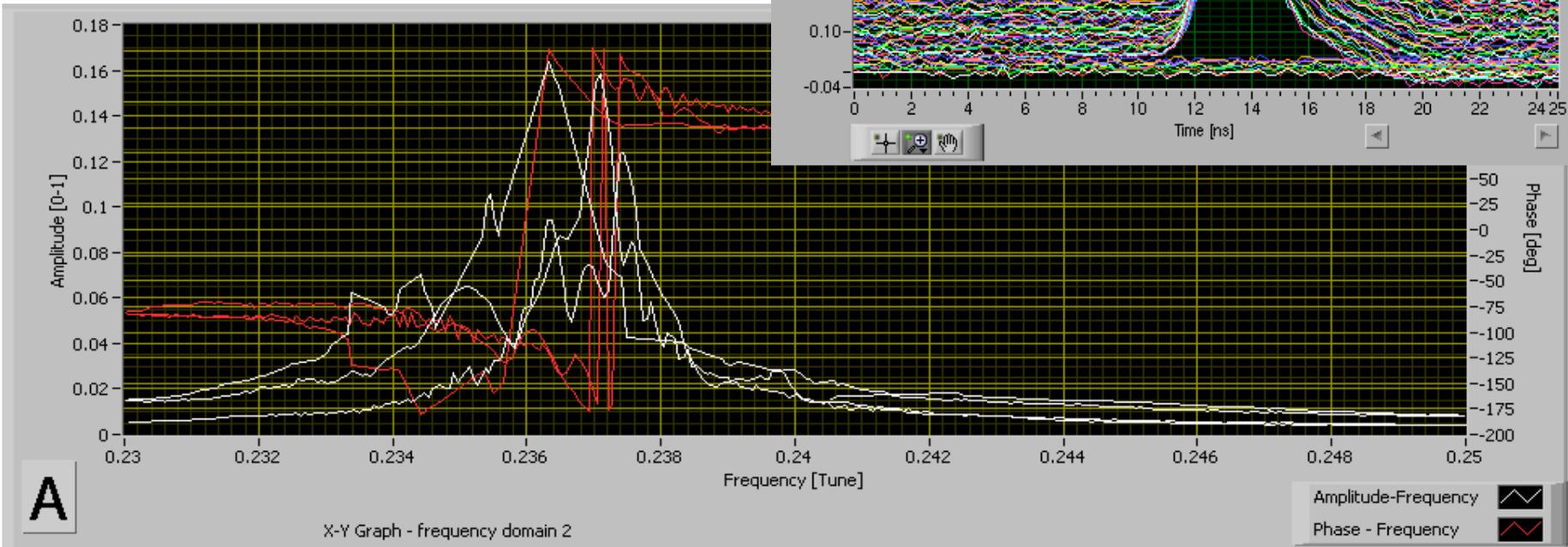
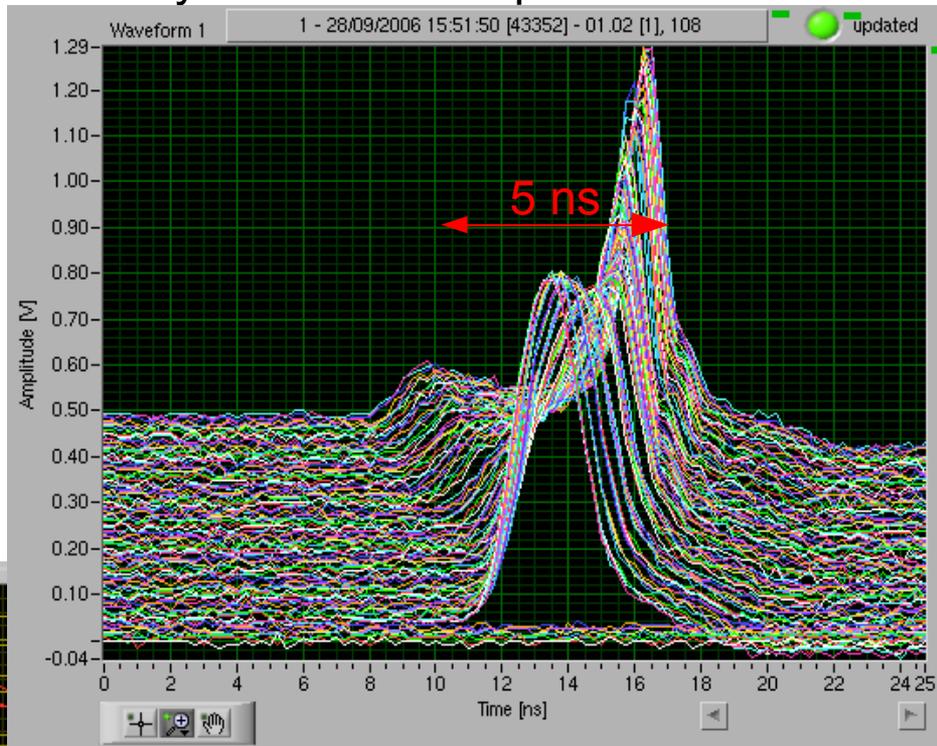
- Broke the PLL due to change of beam response (red), particularly the phase advance (turquoise):



- ....gave food for thought

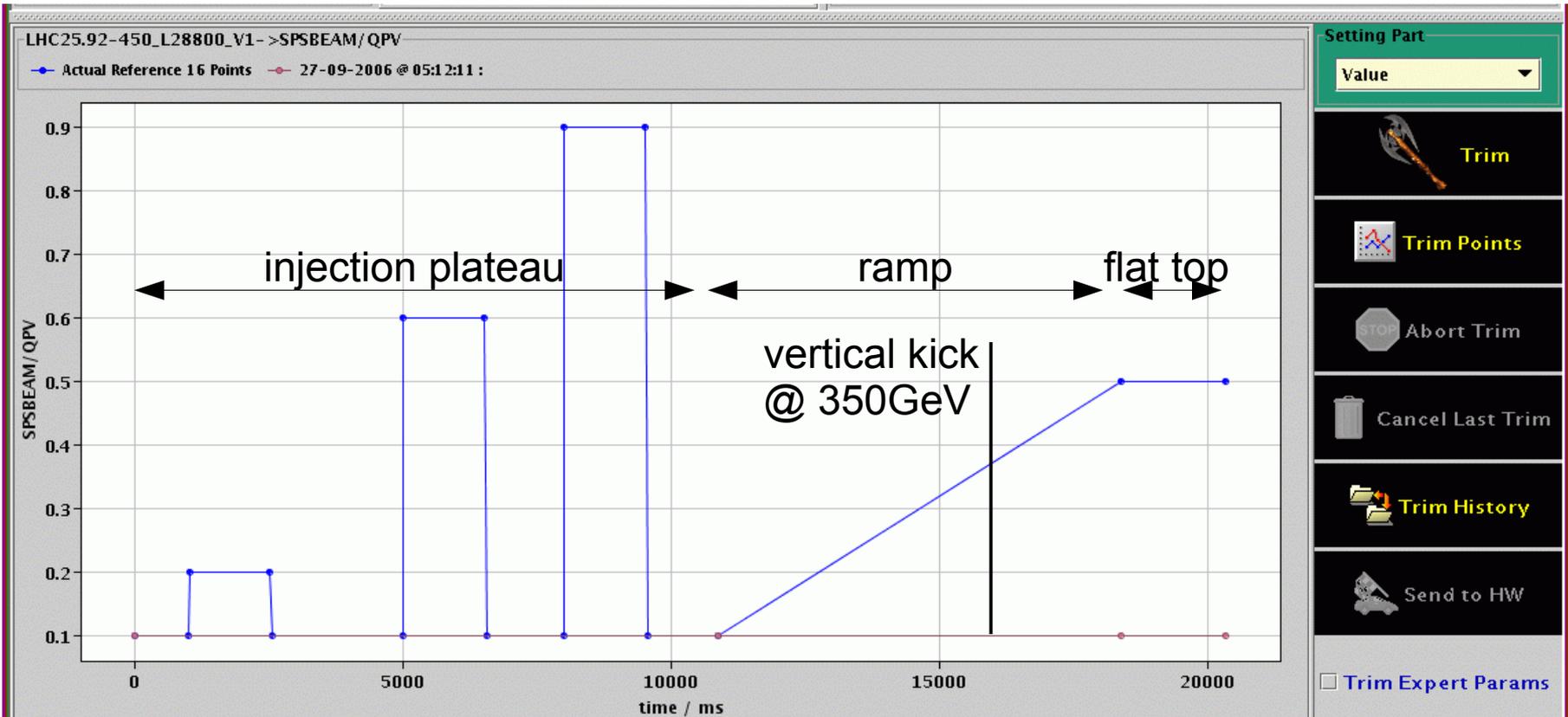
# Some “unexpected” Beam Response I/II

- Observed during the next day: Mismatched synchronous RF phase  
→ bunch splitting!
  - Resistive wall impedance
    - large bunch oscillation
    - bunch dependent tune shifts
  - Crosstalk with RF feedbacks
  - my opinion: a pathologic effect!



# Chromaticity Measurement Methodology

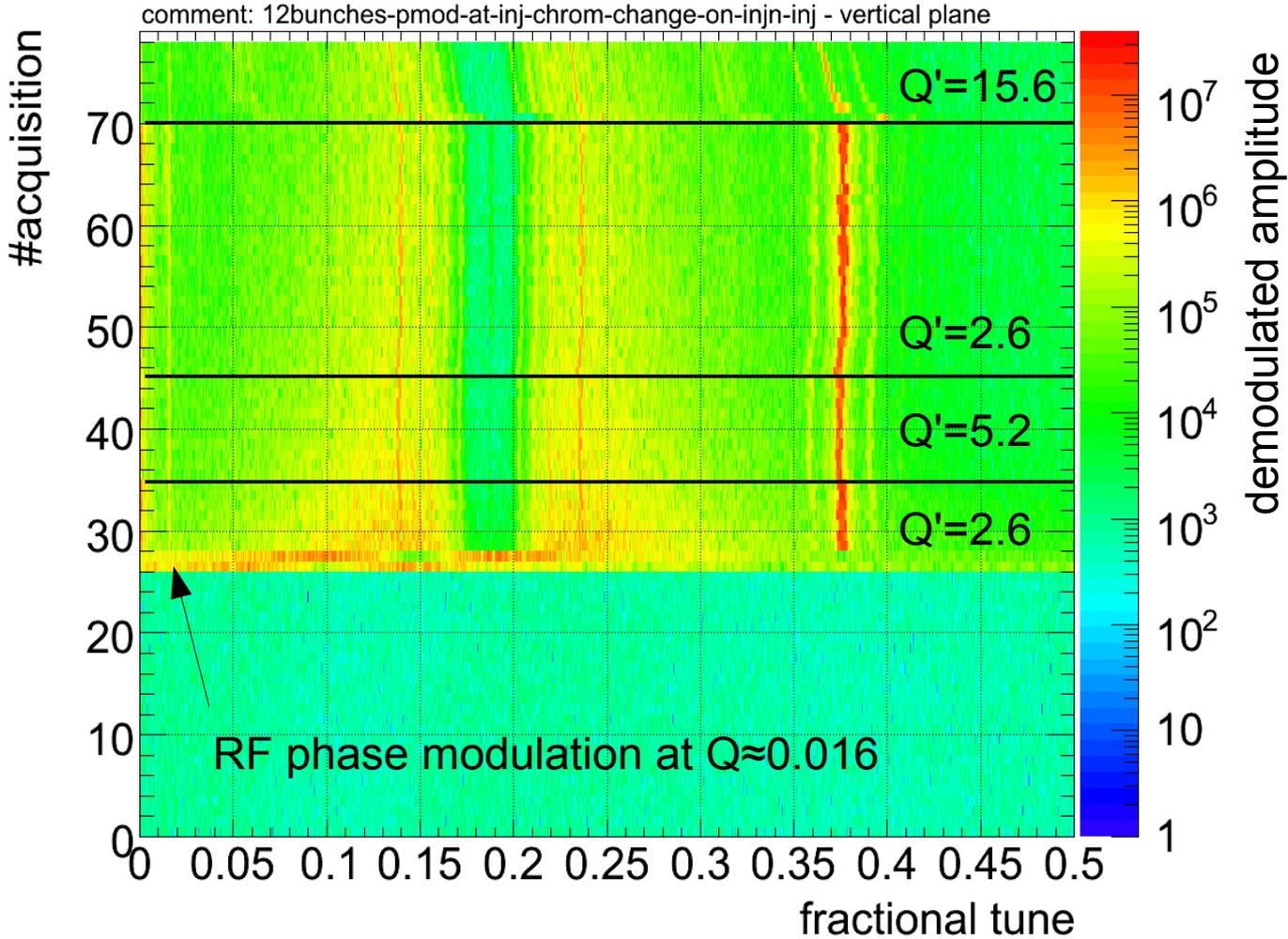
- Initial Chromaticity setting was  $\xi_{H/V} = 0.1$  ( $Q'_{H/V} \approx 2.7$ ,  $\Delta Q'_{err} < 1$ )
  - static chromaticity bumps during the injection plateau (26GeV)
  - varied the chromaticity a flat top (450GeV) up to  $\xi_{H/V} = 0.9$



- absence/not using of transverse damper required large  $Q'$  during ramp

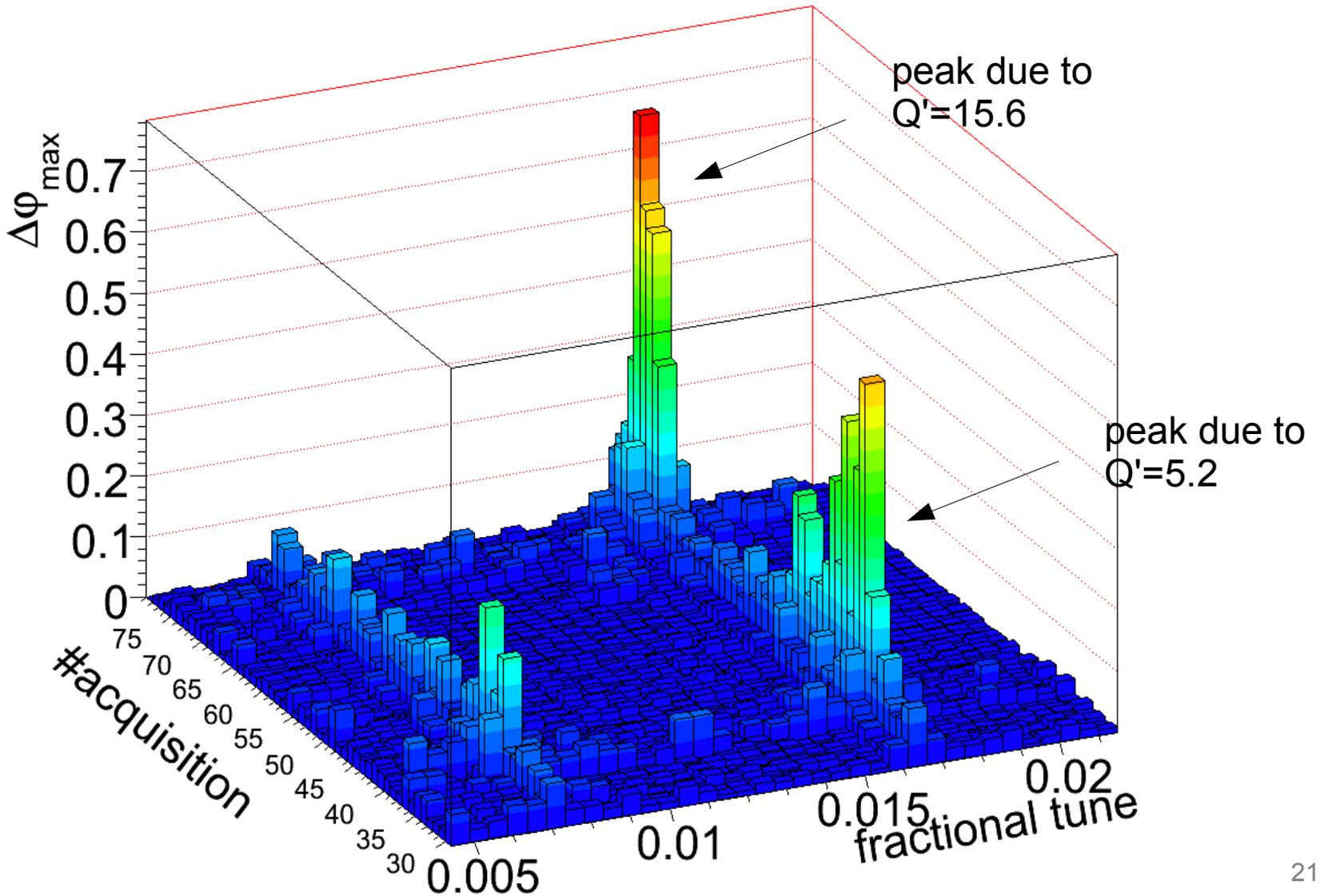
# McGinnis Method I/IV

- Modulated RF frequency at 700 Hz,  $\Delta\phi_{RF} \approx 5^\circ$ 
  - demodulated amplitude  $\sim$  chromaticity



# McGinnis Method II/IV

- Visible but modest signal

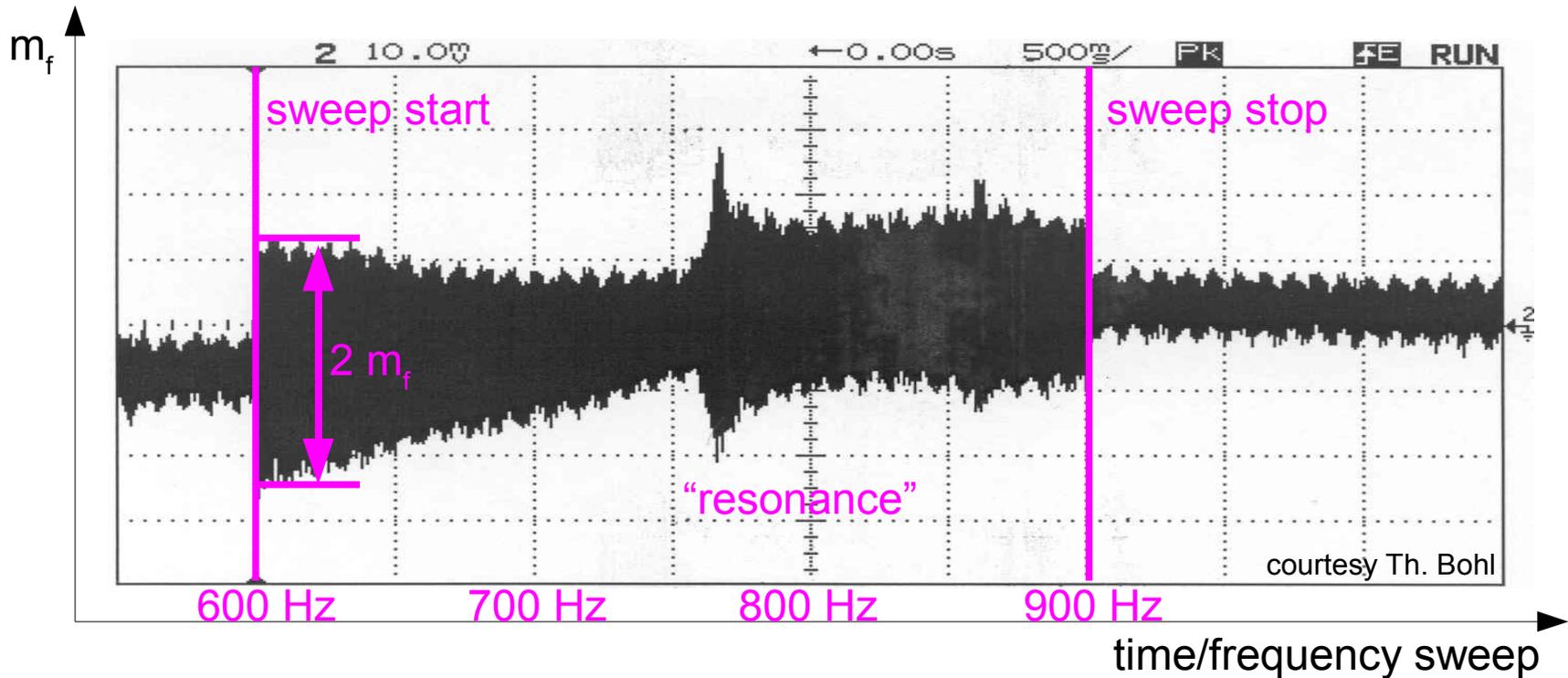


# McGinnis Method III/IV

- Calibration of effective phase modulation  $m_f$  at high frequency depends on the superimposition of RF cavity and beam response:

$$e = a \cdot \sin(\omega_0 t + m_f \cdot \sin(\omega_{mod} t)) \quad \text{with} \quad m_f = \frac{\Delta f}{f_{mod}}$$

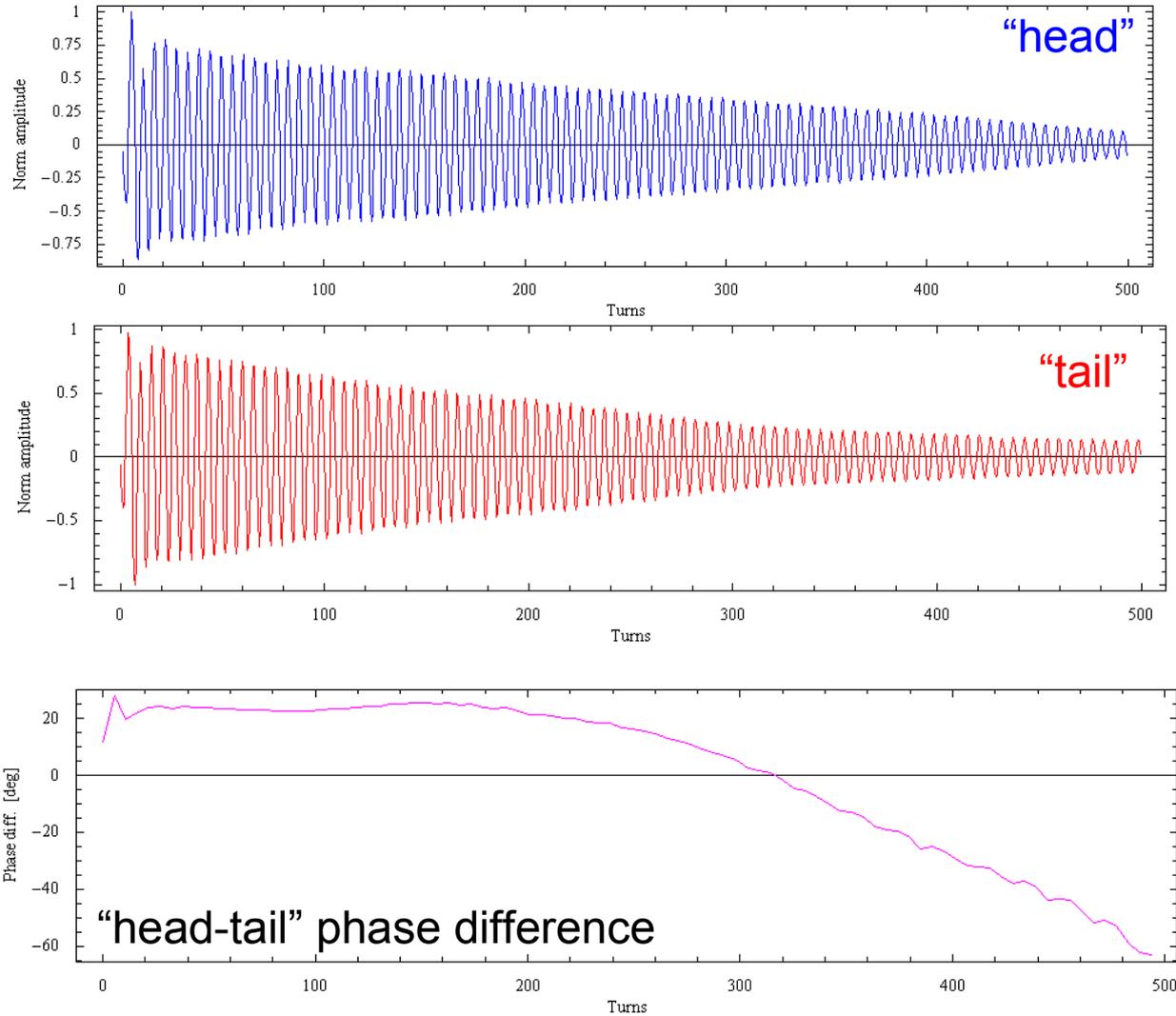
- SPS tests:  $f_{mod} = 700 \rightarrow \Delta f \approx 10 \dots 15 \text{ Hz}$



- McGinnis method proved to be feasible after fixing a “data reconstruction bug”
- Some observations in the SPS:
  - minimal longitudinal emittance blow-up - good
  - no cross-talk w.r.t. longitudinal damper - good
  - However: Cross-talk between transverse damper - can be fixed
    - Result of phase modulation on global RF reference
  - Similar Q' resolution as for slow RF modulation - soso
    - possibly: requires more stable tune and high precision tune tracking
  - $\Delta\varphi \approx 0.1^\circ \leftrightarrow \Delta p/p \approx 1.2 \cdot 10^{-4}$ 
    - LHC: max allowed RF phase modulation  $\Delta\varphi \approx 0.4^\circ$  ( $\leftrightarrow \Delta p/p \approx 10^{-4}$ )
    - may require large RF power due to high Q of cavities
- Will repeat the measurement with “real” coasting beam

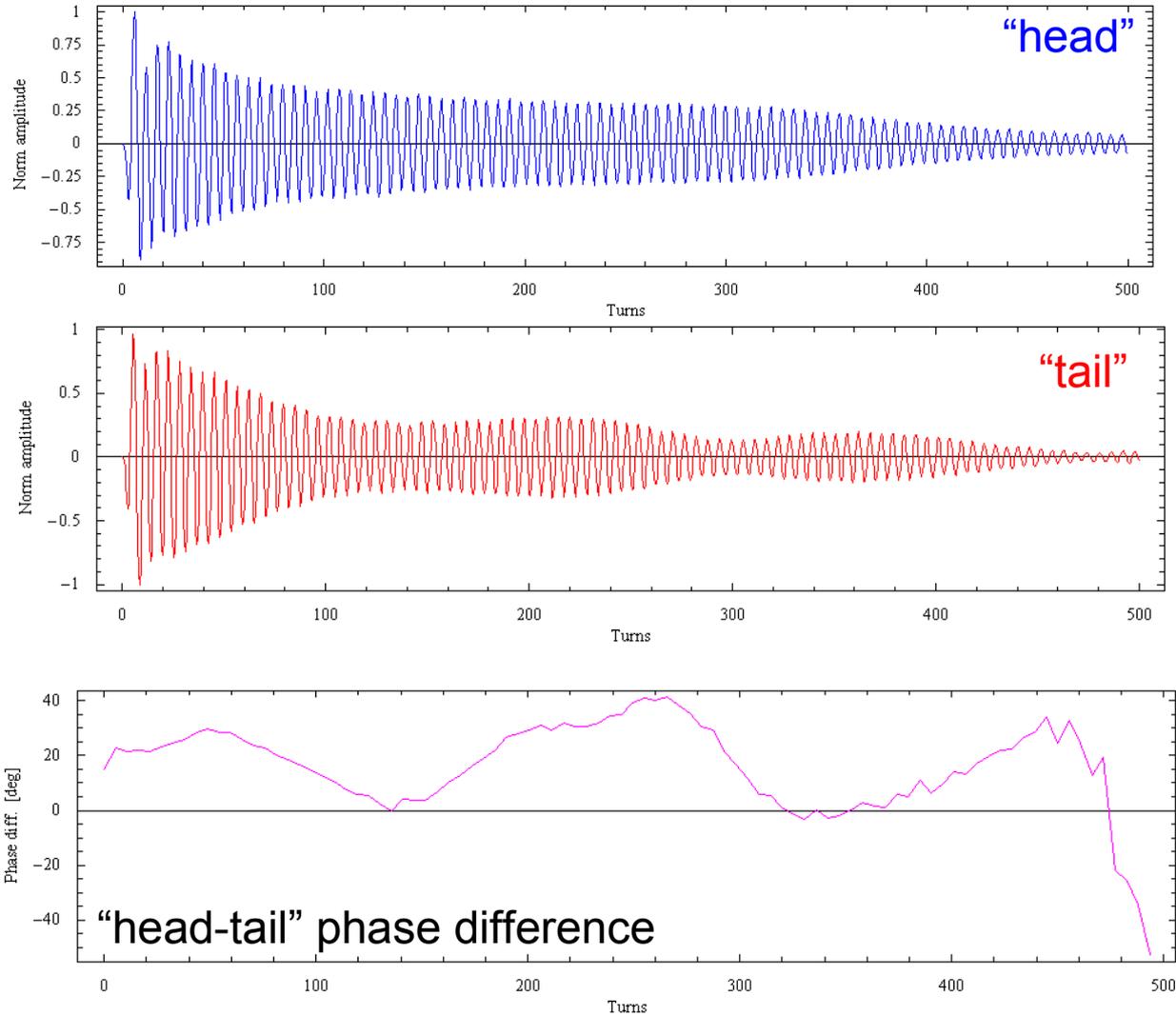
# BBQ based head-tail Measurement I/II

- 2KV kick @ 270GeV,  $Q' \approx 2.6$



# BBQ based head-tail Measurement II/II

- 2KV kick @ 270GeV,  $Q' \approx 10.5$



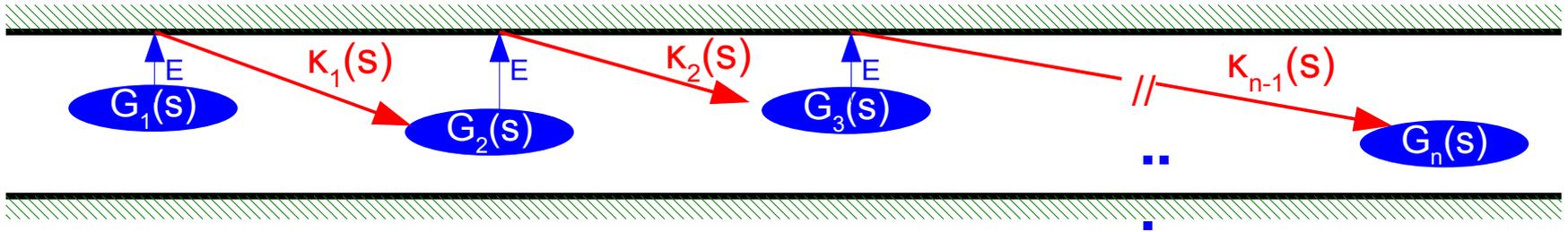
- The prototype test of the BBQ based tune PLL were very successful!
- Mutually exclusive modes of PLL operation:
  - either: track tune changes during the SPS ramp with  $\Delta Q/\Delta t \approx 0.1/s$
  - or: achievable tune resolution  $\Delta Q_{res} \approx 10^{-4} \dots 10^{-5}$
- Required PLL excitation was very low
  - at least a factor 10 smaller than standard SPS MultiQ
  - measurements were done with a S/N ratio of less than 3..10dB
- BBQ based PLL showed to be very robust as long as:
  - the excitation level is above the noise floor (no mains problem)
  - bunch-to-bunch coupling was small
    - IMHO: should be addressed through selecting only single bunch

- Some preliminary comments on tested chromaticity measurement methods:
  - Slow  $\Delta p/p$  modulation:
    - works but requires fairly stable tune and demanding tune measurement resolution for nominal operation
  - Fast  $\Delta p/p$  modulation (McGinnis, Brüning, ...):
    - $Q'$  resolution similar to slow modulation
  - BBQ based Head-tail method:
    - results not conclusive, require further studies
- Question is not: “Can we measure chromaticity?”
- But: “Can we measure  $Q'$  with a given precision and minimal excitation?”
  - Test at SPS are limited cycle length, systematic  $Q/Q'$  changes and rare costing beams
  - Require studies of systematics at “slow” machines such as RHIC to prove feasibility of LHC  $Q'$  baseline ( $\Delta Q'=1$  &  $\Delta p/p \ll 10^{-4}$ )

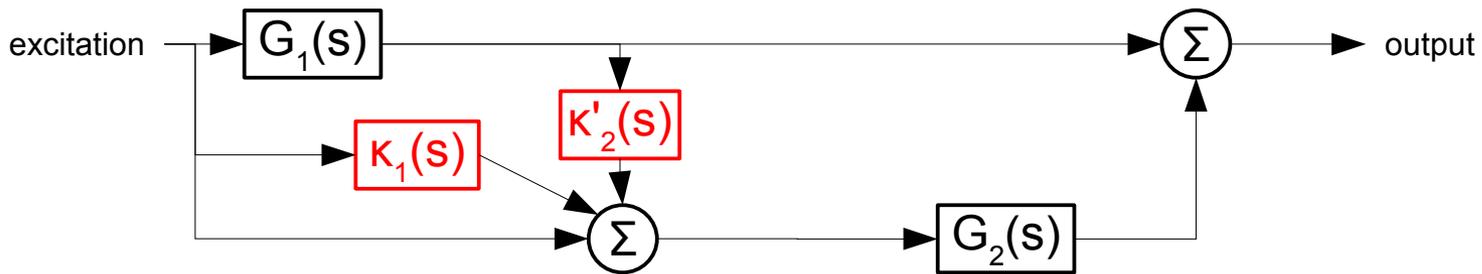
reserve slides

# Coupled Bunch Instabilities

- Coupled bunch effect became more pronounced during later MDs
  - possible causes: impedance driven wake fields, e-cloud, ...

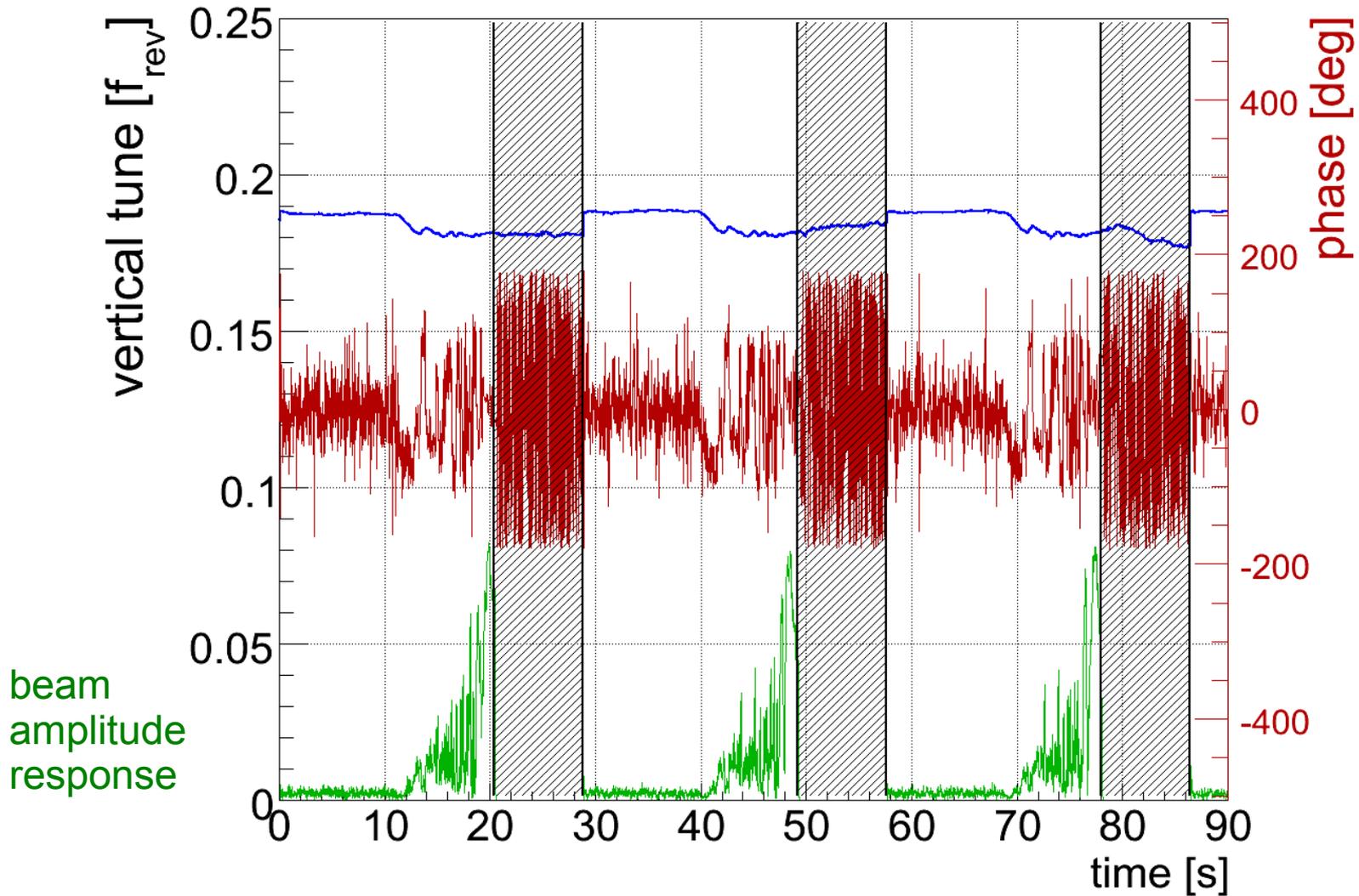


- Phase response can be explained by simple first order model:
  - e.g. classic Landau resonator  $G_n(s)$  and first order coupling  $K_n(s)$
  - example: two coupled bunches



- Possible remedy: BBQ selects and measures only one (first) bunch

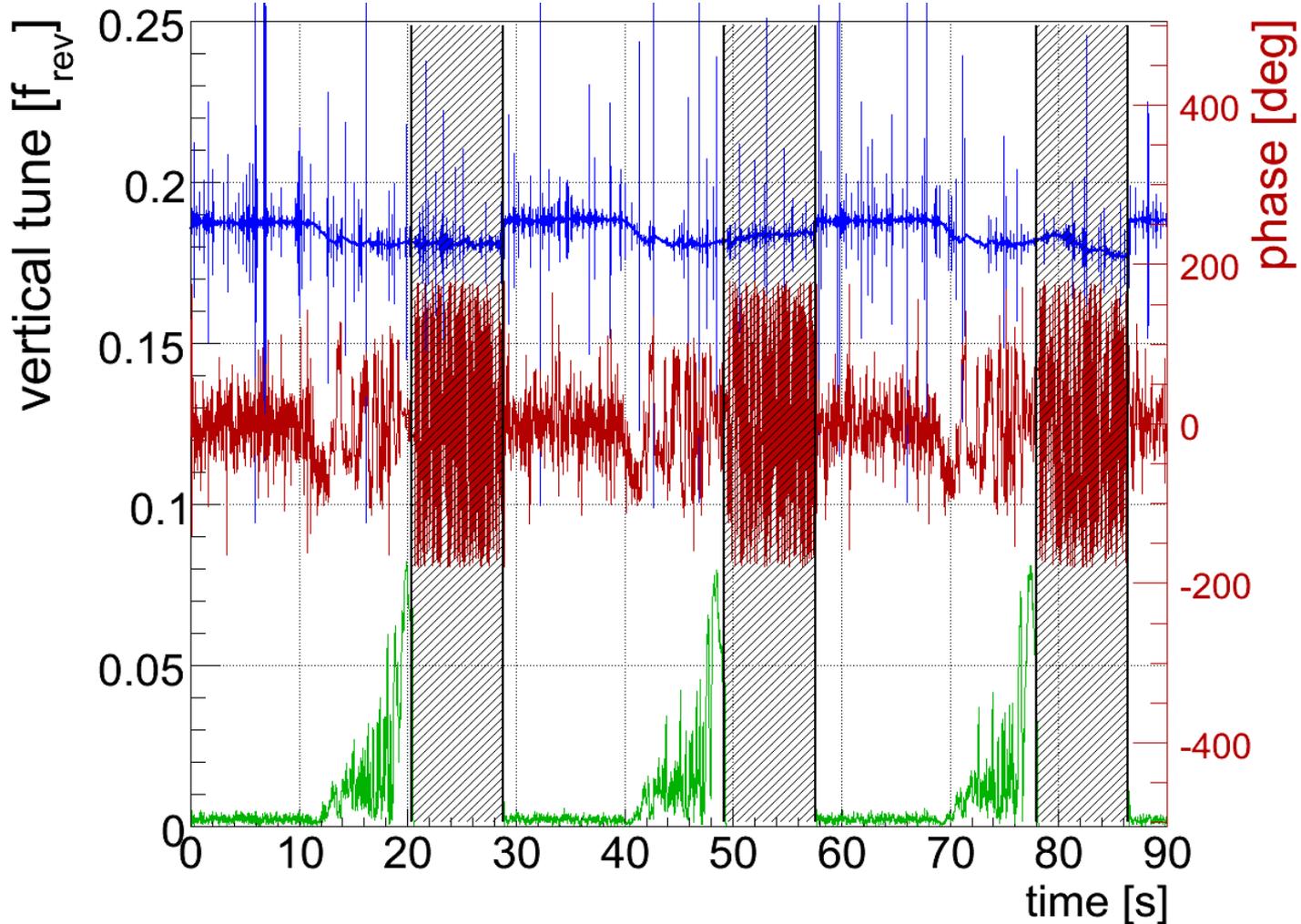
# PLL Measurement Resolution I/II



- change of beam response amplitude indicates changing chromaticity
  - showed later to be cause for instabilities during the ramp

# PLL Measurement Resolution I/II

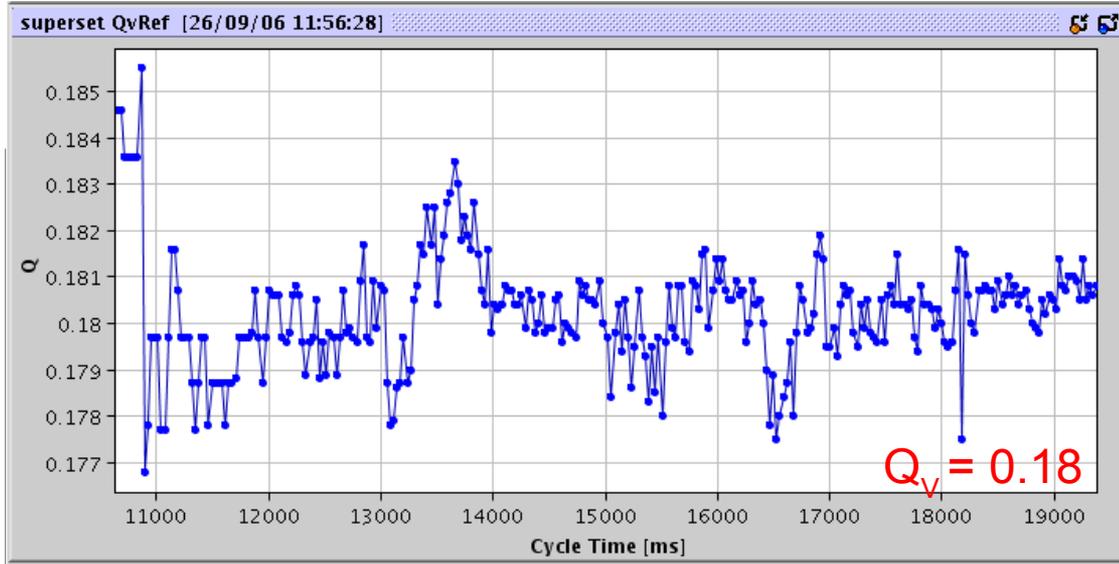
- Phase can be used as an estimate for tracking error (for a given chromaticity)



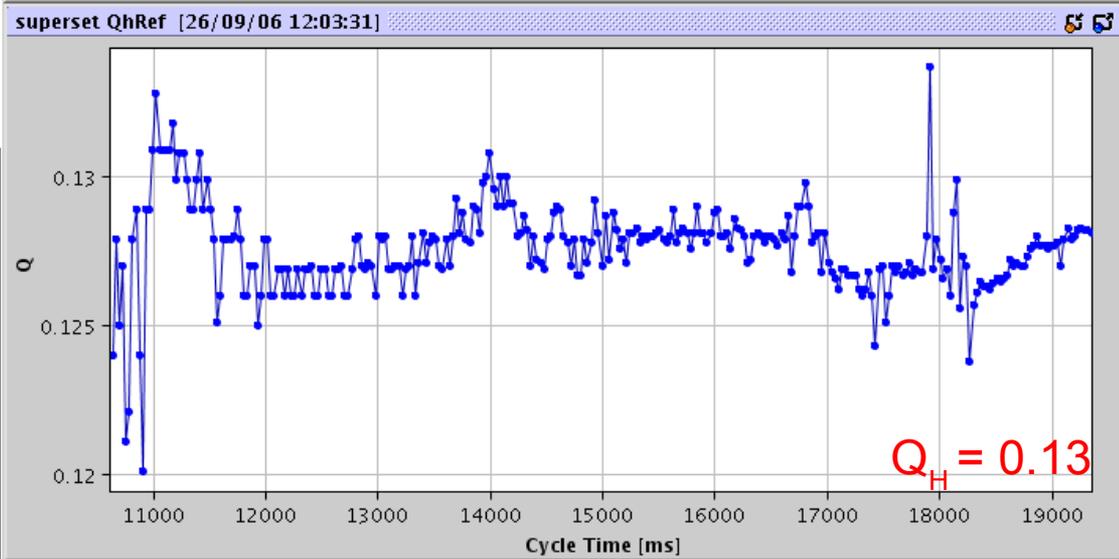
- After some spike filter routine:  $\Delta Q_{\text{res}} \approx 10^{-4} - 10^{-5} @ 10 \text{ Hz}$

(compare traditional kick + FFT yields usually  $\Delta Q_{\text{res}} \approx 10^{-3}$ )

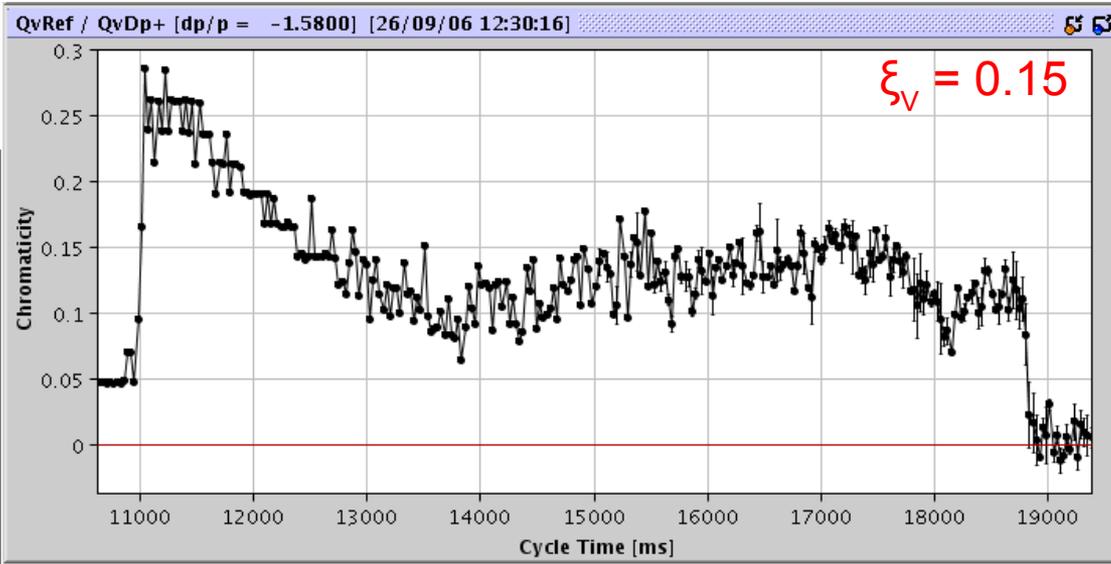
- Tune reference measurements (MultiQ) – (zoom in ramp):



- Slow variation of Q
- $\Delta Q_{\text{res}} \approx 10^{-3}$  visible



- Chromaticity Reference Measurement during ramp (slow  $\Delta p/p$  + MultiQ):



- Injection:  $Q' \approx 2$
- $\Delta Q_{res}$  ( $\sim \Delta Q_{res}$ ) visible
- $\Delta p/p \approx 1.6 \cdot 10^{-3}$

